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# Impact of land cover and climate change on Aquifer Thermal and Energy Storage (ATES) system performance

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## ARTICLE INFO

*Keywords:* ATES **SUHI** Climate change Ground Surface Temperature

## A B S T R A C T

This study investigates the impact of climate change and intense urbanization on Low-Temperature Aquifer Thermal Energy Storage (LT-ATES) systems. A synthetic groundwater model was developed to consider transient thermal boundary conditions due to climate change, urbanization, and evolving building thermal demands. Four scenarios were analyzed, showing potentially significant influences on subsurface thermal conditions, leading to distinct aquifer temperature profiles. The results for conditions with strong ground heat gain indicate pronounced subsurface warming and imbalanced thermal plume extensions and decreasing ATES performance over time. Recommendations include incorporating realistic surface boundary conditions in ATES modeling and strategies to mitigate adverse thermal impacts from urbanization.

#### **1. Introduction**

Low Temperature (*<*25 ◦C) Aquifer Thermal Energy Storage (LT-ATES) is an open-loop geothermal system based on seasonal thermal energy storage in an aquifer. It uses two different groups of wells (''cold'' and ''warm'') to store and extract seasonally cold and warm water from an aquifer to provide thermal energy to buildings. The thermal energy is transferred from the pumped water to the building through a heat exchanger [\(Fig.](#page-1-0) [1\)](#page-1-0). ATES operation can lower both the cost and environmental impact of regulating building temperatures compared to traditional fossil fuel-based systems ([Godinaud](#page-11-0) et al., [2024;](#page-11-0) [Stemmle](#page-11-1) et al., [2021](#page-11-1); [Moulopoulos](#page-11-2), [2014\)](#page-11-2).

The performance of ATES is mainly assessed with two criteria: storage efficiency and abstraction temperature [\(Fleuchaus](#page-11-3) et al., [2019](#page-11-3)). These criteria are met by optimizing ATES design with respect to energy demand and hydrogeological conditions. Therefore, in practice, special attention is given to the influence of aquifer parameters' heterogeneity or natural groundwater velocity on storage efficiency and thermal plume extension [\(Ferguson](#page-11-4), [2007](#page-11-4); [Bridger](#page-11-5) and Allen, [2014;](#page-11-5) [Visser](#page-11-6) et [al.](#page-11-6), [2015;](#page-11-6) [Bloemendal](#page-11-7) and Hartog, [2018;](#page-11-7) [Bloemendal](#page-11-8) and Olsthoorn, [2018\)](#page-11-8).

ATES systems are particularly suitable to provide heat and cold to urban districts ([Rostampour](#page-11-9) et al., [2019](#page-11-9)) or large buildings such as office buildings ([Kranz](#page-11-10) and Frick, [2013\)](#page-11-10), airports ([Baxter](#page-10-0) et al., [2018](#page-10-0)), hospitals ([Vanhoudt](#page-11-11) et al., [2011;](#page-11-11) [Schüppler](#page-11-12) et al., [2019](#page-11-12)), and universities ([Behi](#page-10-1) et al., [2014](#page-10-1)). Surface artificialization of cities (buildings,

roads, car parks) and underground constructions (car parks, tunnels, subways) modify soil, surface, and ground thermal properties, generating a surplus energy transfer to the subsurface [\(Noethen](#page-11-13) et al., [2023\)](#page-11-13). The heat accumulation in the ground is responsible for a temperature increase often reaching between 2 ℃ and 6 ℃ compared to undistributed rural areas, which manifests as a Subsurface Urban Heat Island (SUHI) (Ferguson and [Woodbury](#page-11-14), [2004;](#page-11-14) [Bayer](#page-10-2) et al., [2019](#page-10-2); [Blum](#page-11-15) et al., [2021;](#page-11-15) Watson and [Westaway](#page-11-16), [2020](#page-11-16)). Indeed, in urban environments, borehole measurements show a typical log C-shaped temperature distribution, and the geothermal gradient appears to approach its typical value below 100–150 m depth. The evolution of SUHIs causes the accumulation of substantial energy in the shallow ground and groundwater [\(Attard](#page-10-3) et al., [2016](#page-10-3); [Visser](#page-11-17) et al., [2020](#page-11-17); [Epting](#page-11-18) et [al.,](#page-11-18) [2021](#page-11-18)). For example, in the cities of Milan (Italy), Cologne (Germany), and Karlsruhe (Germany) 1.0, 1.4 and  $2.1 \text{ PJ y}^{-1}$ , respectively, are transferred to the underground [\(Benz](#page-10-4) et al., [2015](#page-10-4); [Previati](#page-11-19) et al., [2022\)](#page-11-19). Thus, SUHIs present a high potential to develop geothermal activities for heating purposes. In Karlsruhe and the western part of Cologne, the stored energy would be sufficient to sustainably cover 32% and 9%, respectively, of annual residential space heating demand [Benz](#page-10-4) et [al.](#page-10-4) ([2015\)](#page-10-4). A case study in a district of London also demonstrates that 50% of the total heat demand could be supplied by geothermal extraction using SUHI benefits [\(Bidarmaghz](#page-10-5) et al., [2021](#page-10-5)). Including the effect of continued global ground warming, [Benz](#page-10-6) et al. [\(2022](#page-10-6)) showed that at least 97% of the 8000 sites they used in their study would be

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<https://doi.org/10.1016/j.geothermics.2025.103262>

Received 28 May 2024; Received in revised form 16 December 2024; Accepted 19 January 2025

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<span id="page-1-0"></span>Fig. 1. Operation of an LT-ATES system: (a) in summer, cold water is extracted from the aquifer, and this cools down the building either directly (free-cooling) or with a heat pump; (b) in winter, the stored warm water is extracted from the aquifer, and a heat pump is required to reach a suitable temperature for heating the building.

suitable to use the subsurface heat recycling to fulfill heating demand by considering climate change projection RCP8.5 by the end of the 21th century. Nevertheless, cold production also plays a key role in ATES operation, and to our knowledge, only Arola and [Korkka-Niemi](#page-10-7) ([2014\)](#page-10-7) address the impact of SUHI on cold extraction with geothermal systems. They demonstrate that in southern Finnish cities, the SUHI effect generates a reduction of 50% of peak cooling power.

As noted by [Fleuchaus](#page-11-3) et al. [\(2019](#page-11-3)), the impact of the SUHI effect on the performance of ATES systems has not been previously investigated. Typically, the performance of ATES systems is analyzed using an initially uniform aquifer temperature, even when a SUHI influences the aquifer. To our knowledge, only [Visser](#page-11-6) et al. [\(2015](#page-11-6)) consider land cover and historical surface changes in their modeling of an ATES system. They demonstrated the necessity of including these parameters to model a vertical temperature distribution that closely matches the observed temperature at an ATES site before the start of operations a crucial step for accurate thermal impact assessment of ATES. In their study, they also coupled the effects of land use and aquifer heterogeneity on the thermal plume extension of ATES. They aimed to compare their model results with the temperature distribution monitored from boreholes. However, they did not perform model projections to evaluate the impact of these parameters on ATES performance during long-term operation.

Moreover, with the atmospheric temperature increase induced by climate change, the thermal demand for buildings is expected to change during the 21st century [\(Alves](#page-10-8) et al., [2021;](#page-10-8) [Ciancio](#page-11-20) et al., [2020;](#page-11-20) [Jalali](#page-11-21) et [al.](#page-11-21), [2023;](#page-11-21) [Reveshti](#page-11-22) et al., [2023\)](#page-11-22). The intensity of these changes is under discussion, but the global trend is an increase in the cooling load and a decrease in the heating load. For ATES systems, this may yield an over-pumping of the cold well resulting in a surplus of heat storage in the aquifer during summer. On the contrary, during winter, cold water storage may decrease due to the heating load reduction of buildings. Considering the climatic projection of the A1Fl scenario (projected to continue to have strong growth in fossil fuel production) in 2050–2075, [Bloemendal](#page-11-23) et al. ([2015\)](#page-11-23) demonstrated that about 3% of the world's urban population will live in areas with high suitability for ATES application, while it was 15% at the end of the 20th century. Overall, climate change should reduce cold availability in aquifers, while the building cooling load is expected to increase. [Bozkaya](#page-11-24) et al. ([2018\)](#page-11-24) stressed the importance of reaching a thermally balanced building to optimize ATES performance. Nevertheless, the potential changes in the thermal balance of buildings and aquifers for ATES systems have not been investigated in detail under the progression of climate change.

This study aims to assess the performance (storage efficiency) and thermal plume extension of a synthetic ATES system considering both SUHI and climate change effects on building thermal load. This is examined through numerical model simulations of synthetic ATES configurations influenced by urban thermal conditions, with four distinct scenarios developed for this analysis. The focus is on illuminating how

extreme conditions applied to the Ground Surface Temperature (GST) and building thermal demand influence ATES performance. Exploring extreme conditions allows us to get an insight into the range of possible conditions and cases. The first part of the paper presents the studied scenarios and the construction of the numerical model used to solve hydrodynamic and thermal transfer equations in the aquifer. The second part details and compares the results of the different scenarios.

#### **2. Methods**

## *2.1. Definition of GST scenarios*

This study is based on a theoretical case oriented at the city of Bordeaux (France). We focus on a doublet ATES system with one cold and one warm well, which are operated in a confined aquifer at a depth between 20 m and 55 m. The target below the 20 m depth prevents the influence of seasonal atmospheric temperature fluctuations on groundwater temperature ([Taylor](#page-11-25) and Stefan, [2009](#page-11-25)). The ATES aims to provide thermal energy (warm and cold) to an office building of 15,000 m<sup>2</sup> accommodating 650 workers. The operation of the ATES starts in 2022 with a duration of 60 years. To examine the influence of climatic conditions and land use change on ATES performance, four distinct scenarios ([Table](#page-2-0) [1\)](#page-2-0) considering different climatic conditions pre- and post-2022 in the city of Bordeaux ([Fig.](#page-2-1) [2](#page-2-1)a) and the extent of urbanization in the same city are tested.

Scenarios 1 and 2 do not consider the impact of urbanization. For the urbanization scenarios 3 and 4, the process started in 1990 with simultaneous development of the entire area. The land use patterns ([Fig.](#page-2-1) [2b](#page-2-1)) in scenarios 3 and 4 were retrieved from a geographic information system software (QGIS) assessment of the city of Bordeaux, corresponding respectively to the university campus area [\(Fig.](#page-2-1) [2](#page-2-1)c) and the city center ([Fig.](#page-2-1) [2d](#page-2-1)).

Scenarios 2, 3, and 4 encompass the historical Surface Atmospheric Temperature (SAT) evolution [\(Fig.](#page-2-2) [3\)](#page-2-2), whereas scenario 1 depicts a static temperature set at the 2022 value in Bordeaux, serving as a reference scenario frequently employed to model the impact and performance of geothermal systems.

The past SATs were gathered from the meteorological station in Bordeaux-Mérignac (France), covering the period from 1906 to 2022. The data highlights a clear temperature increase in this city, representing the trend observed on a European scale. This rise started in the second part of the 20th century, resulting in present temperatures around 2*.*0 ◦C above temperatures measured in the first part of the 20th century. For scenarios 2, 3, and 4, the SAT values were retrieved using a local polynomial regression applied to the annual average temperature values before 2022 and the projected temperatures after 2022 (lines in [Fig.](#page-2-2) [3\)](#page-2-2). Since the current SAT in Bordeaux is already 2*.*0 °C higher than in 1900, we decided to use the projected temperature data from the RCP8.5 climate scenario for Bordeaux.



<span id="page-2-1"></span>**Fig. 2.** Spatial conditions and land use: (a) location of Bordeaux in France, (b) location of the two areas of interest in the city, with the land use of (c) the university area and (d) the city center area.

**Table 1**

<span id="page-2-0"></span>Climatic and urbanization trends for the four studied scenarios are detailed in the second column. In the last column, the abbreviations represent NL = Natural land, S = Streets and sideways, and  $B =$  Buildings

Scenario	Surface Atmospheric Temperature (SAT) before 2022	SAT after 2022	Intensity of urbanization	Surface occupation share
	Stable at 2022 value	Stable at 2022 value	No urbanization	NL:100%
	Past Bordeaux SAT	RCP 8.5	No urbanization	NL:100%
	Past Bordeaux SAT	RCP 8.5	Low urbanization	NL:56% S:26% B:18%
$\overline{4}$	Past Bordeaux SAT	RCP 8.5	High urbanization	NL:25% S:25% B:50%



<span id="page-2-2"></span>**Fig. 3.** Temperature observed at the Bordeaux-Mérignac weather station and projected value from RCP8.5 after 2022 (dots). The lines represent local polynomial regression adjustment considering RCP8.5 projection after 2022 (solid) or stabilization of the temperature after 2022 (dashed).

## *2.2. Definition of the GST*

Past studies (Harris and [Chapman](#page-11-26), [1997](#page-11-26); [Huang](#page-11-27) et al., [2000;](#page-11-27) [Ferguso](#page-11-14)n and [Woodbury,](#page-11-14) [2004](#page-11-14); [Bayer](#page-10-9) et al., [2016\)](#page-10-9) have shown that historical trends in GST influence the distribution of borehole temperature logs in the first 100–150 m depth of the ground. The GST value is directly influenced by the SAT and urbanization/artificialization development. Following [Rivera](#page-11-28) et al. [\(2015](#page-11-28)) the GST for natural land can be written as:

$$
GST_{nat} = SAT + AT_{S-A} \tag{1}
$$

where SAT is the Surface Atmospheric Temperature and  $\Delta T_{S-A}$  the difference between the SAT and the temperature at the surface of natural soil. This parameter depends on surface conditions such as wind, soil type, altitude, snow pack and evapotranspiration ([Herb](#page-11-29) et al., [2008;](#page-11-29) [Banks](#page-10-10), [2012\)](#page-10-10). In the city of Zurich (Switzerland), [Bayer](#page-10-9) et al. ([2016\)](#page-10-9) found  $\Delta T_{S-A}$  values ranging between 1.6 and 2.7 °C. Based on other results from [Visser](#page-11-6) et al. ([2015\)](#page-11-6) and [Godinaud](#page-11-30) [\(2023](#page-11-30)), a value of 1.0 °C is set for this study.

When the surface is covered with asphalt or equivalent material (streets or parking spots for example), Eq. [\(1\)](#page-2-3) becomes:

$$
GST_{asph} = SAT + \Delta T_{S-A} + \Delta T_a \tag{2}
$$

where  $\Delta T_a$  is the difference between the surface temperature of natural soils and the temperature at the surface of a soil covered with asphalt. According to [Dědeček](#page-11-31) et al. ([2012\)](#page-11-31) and [Bayer](#page-10-9) et al. [\(2016](#page-10-9)), a yearly average  $\Delta T_a$  of 3–4 °C can be used.

Finally, GST below a building can be expressed as :

$$
GST_{build} = T_b \tag{3}
$$

where  $T_b$  represents a yearly average fixed surface temperature ranging between 15.0 and 20.0 °C [\(Rivera](#page-11-28) et al., [2015;](#page-11-28) [Bayer](#page-10-9) et al., [2016](#page-10-9); [Benz](#page-10-4) et al., [2015\)](#page-10-4). This temperature depends on the building envelope and thermal loss from the slab/floor. For this study,  $T_b = 19.0 \degree C$  is assumed. We chose this high value to account for significant urban subsurface warming also triggered by heat losses from further sources such as sewage leakage or district heating networks [\(Benz](#page-10-4) et al., [2015](#page-10-4)). Together with the climate scenario RCP8.5, this high heat loss allows us to focus on extreme conditions for urban subsurface heating and consequences for ATES performance.

<span id="page-2-3"></span>For simplification, in the numerical groundwater model, we defined a uniform GST value of each area as the spatial average of surface temperatures:

$$
GST = \sum_{i} \beta_i \times GST_i \tag{4}
$$

where  $GST_i$  is the temperature and  $\beta_i$  the relative coverage area, associated with the *i*th surface occupation share (natural land, streets, or buildings) ([Table](#page-2-0) [1](#page-2-0) and [Fig.](#page-2-1) [2](#page-2-1)c and d).

## *2.3. Definition of pumped/injected volumes*

Aside from ground temperature distribution, SAT influences building thermal load and thus pumped/injected volumes from/in the wells. For this study, the daily thermal load of the building is calculated following the ASHRAE methodology ([ASHRAE,](#page-10-11) [1997,](#page-10-11) [2021\)](#page-10-12) based on daily SAT projection. [Appendix](#page-9-0) contains information on the building envelope parameters and the thermal load calculation specifics ([Tables](#page-9-1) [A.3](#page-9-1)[,A.4](#page-9-2), [A.5](#page-10-13) and [A.6](#page-10-14)). Considering the thermal demand of the building, the required flow rate  $Q(m^3 h^{-1})$  from the warm well is expressed as:

$$
Q_{warm} = \frac{P(1 - \frac{1}{COP})}{\Delta T \rho_w c_w} \tag{5}
$$

and the required flow rate from the cold well during the cooling period is:

$$
Q_{cold} = \frac{P(1 + \frac{1}{COP})}{\Delta T \rho_w c_w} \tag{6}
$$

where  $COP$  (-) is the Coefficient Of Performance of the heat pump (i.e., the ratio between the useful produced thermal power and the required electricity to power the heat pump) in warm and cold mode ([Bulté](#page-11-32) et al., [2021\)](#page-11-32),  $\Delta T$  (K) is the temperature difference between the upstream and downstream of the heat exchange,  $P$  (kW) is the thermal demand of the building, and  $\rho_w c_w$  (kW h m<sup>-3</sup> K<sup>-1</sup>) the volumetric heat capacity of the water.

### *2.4. Setup of the numerical model*

#### *2.4.1. Groundwater flow and heat transport equation*

The following equation represents the three-dimensional (3D) transient groundwater flow in saturated porous media:

$$
-\nabla \cdot (-K\nabla h) + w = S_s \frac{\partial h}{\partial t} \tag{7}
$$

with  $K$  (m s<sup>-1</sup>) the hydraulic conductivity,  $S_s$  (m<sup>-1</sup>) the specific storage, *h* (m) the piezometric head,  $t$  (s) the time, and  $w$  (s<sup>-1</sup>) the sink.

The heat transport equation reads as follows:

$$
vc_{w}\rho_{w}\cdot\nabla T-(\lambda_{bulk}+\alpha\cdot c_{bulk}\rho_{bulk}\cdot v)\cdot\nabla^{2}T+c_{bulk}\rho_{bulk}\frac{\partial T}{\partial t}-s=0
$$
 (8)

with v (m s<sup>-1</sup>) the vector of water flux,  $c_{bulk} \rho_{bulk}$  and  $c_w \rho_u$  $(J m^{-3} K^{-1})$  the volumetric heat capacity of the porous media and water,  $\lambda_{bulk}$  (W m<sup>-1</sup> K<sup>-1</sup>) the bulk thermal conductivity, and  $\alpha$  (m) the thermal dispersivity. The latter is specified as  $\alpha<sub>I</sub>$  in the direction parallel to the water flow and as  $a_T$  in the direction perpendicular to the water flow (typically,  $\alpha_T$  is set equal to 0.1  $\alpha_L$ ). Finally, *s* (W m<sup>-3</sup>) represents the heat source.

The low temperature variation along the modeled domain involved in this study allows us to ignore density and viscosity effects on heat transport modeling. Viscosity effects are considered negligible when the temperature difference across the model domain is less than 30 ◦C, and fluid density can be assumed constant when the maximum temperature difference across the model domain does not exceed 15 ◦C (Ma [and](#page-11-33) [Zheng](#page-11-33), [2010](#page-11-33)).

**Table 2**

<span id="page-3-2"></span><span id="page-3-0"></span>Thermal and hydrodynamic parameters used in the 3D model (*i* represents the imposed regional hydraulic gradient in the model domain.)

				$K \text{ (m s}^{-1})$ $S_s \text{ (m}^{-1})$ $i \text{ (\%)}$ $\lambda \text{ (W m}^{-1} \text{ K}^{-1})$ $c_s \rho_s \text{ (MJ m}^{-3} \text{ K}^{-1})$ $\alpha_l \text{ (m)}$	
Aquifer $10^{-5}$	$10^{-4}$	0.8	-3.0	2.52	5.0
Aquitard $10^{-9}$	$10^{-4}$	0.8	2.0	2.52	5.0

## *2.4.2. Conceptual and numerical model*

The 3D-modeled domain constitutes a block centered around the building and the ATES system. It comprises one aquifer between 20 and 55 m depth confined between two low-permeability formations. The ATES wells, F1 and F2 (55 m depth), are separated by a distance of 150 m and set perpendicular to the groundwater flow direction to prevent thermal interaction between warm and cold thermal plumes ([Fig.](#page-4-0) [4\)](#page-4-0).

The domain extension is predetermined to restrict the interaction or influence of the Boundary Conditions (BCs) established at the borders and top and bottom faces. The BCs for groundwater flow simulation are:

- <span id="page-3-3"></span>• Along the west and east faces: imposed constant piezometric heads (Dirichlet BC) creating a 0.8% gradient (i) from west to east;
- on the top, bottom, north, and south faces: zero-flux BC;
- at the wells: imposed flux with a transient Neuman BC equal to the abstracted (+) or injected (−) calculated flow rate.

<span id="page-3-4"></span>The heat transport BCs are the following:

- on the top face: transient Dirichlet BC representing the yearly average GST;
- on the bottom face: fixed temperature value (Dirichlet BC) to reproduce a geothermal gradient below the area of influence of surface temperature. Typical values range from 2–3*.*5 ◦C per 100 m ([Banks](#page-10-10), [2012](#page-10-10)). Here we chose a value of 3*.*0 °C per 100 m;
- on the west face (upgradient): depth-dependent transient Dirichlet BC. Prescribed temperatures were obtained from a simple, independent numerical model only accounting for heat diffusion along the vertical direction given transient GST;
- on the east face (downgradient): free BC heat flux;
- at the wells: transient Dirichlet temperature BC to maintain a constant temperature difference of 5*.*0 ◦C between the two wells.

The hydrodynamic and thermal equations are solved using the finite element method implemented in the software FEFLOW . The parameter values of the simulated porous media are depicted in [Table](#page-3-0) [2.](#page-3-0)

The constant temperature difference of 5.0 °C between the two wells is implemented with the *OpenLoop* plug-in of the software. The mesh was refined around the two ATES wells [\(Fig.](#page-4-0) [4](#page-4-0)), and the model was vertically extended in 3D with 65 layers. [omment  $=$  to justify the layer number]Vertical refinement was applied near the surface and at geological unit interfaces to mitigate numerical instability arising from local property contrasts, featuring increased vertical refinement near the surface and at geological unit interfaces. This yields a mesh of about 297,000 elements. The aquifer is supposed to be fully confined, and therefore, the simulated aquifer layer is always saturated.

## *2.5. ATES performance analysis*

For each scenario, based on [Fleuchaus](#page-11-3) et al. ([2019\)](#page-11-3) and [Sommer](#page-11-34) et [al.](#page-11-34) ([2013\)](#page-11-34) the ATES wells' performance can be individually estimated for each cycle with:

<span id="page-3-1"></span>
$$
\eta_i = \frac{\int_0^{t_{abs,i}} Q_{abs,i}(\tau) \Delta T_{abs,i}(\tau) d\tau}{\int_0^{t_{inj,i}} Q_{inj,i}(\tau) \Delta T_{inj,i}(\tau) d\tau}
$$
(9)

where:



<span id="page-4-0"></span>Fig. 4. (a) 3D mesh of finite elements with hydraulic and thermal boundary conditions (vertical exaggeration  $\times$  5.0), and (b) north-south vertical cross-section through the wells showing mesh local refinements (vertical exaggeration  $\times$  3.0).

- $t_{abs,i}$  and  $t_{inj,i}$  (s) are the duration of the abstraction and injection phases, respectively.
- $Q_{abs,i}$  (m<sup>3</sup> s<sup>-1</sup>) represents the abstracted flow rate for one well and  $(Q_{inj,i} \text{ m}^3 \text{ s}^{-1})$  the injected flow rate at the same well during the previous season.
- $\Delta T_{abs,i}$  and  $\Delta T_{inj,i}$  (°C) represent the temperature difference of abstracted and injected water with  $T_{amb}$ , respectively.
- $T_{amb}$  (°C) is the ambient groundwater temperature in the aquifer before ATES start-up.

If  $Q_{abs,i}(\tau)$  and  $Q_{abs,i}(\tau)$  are both constant throughout the *i*th cycle, we can introduce their average values over the cycle,  $Q_{abs,i}$  and  $Q_{ini,i}$ , in Eq.  $(9)$  to get:

$$
\eta_i = \frac{\overline{Q}_{abs,i} \int_0^{t_{abs,i}} \Delta T_{abs,i}(\tau) d\tau}{\overline{Q}_{inj,i} \int_0^{t_j n j, i} \Delta T_{inj,i}(\tau) d\tau}
$$
(10)

We can then introduce the average temperature variations over the cycle:

$$
\overline{AT}_{abs,i} = \frac{1}{t_{abs,i}} \int_0^{t_{abs,i}} \Delta T_{abs,i}(\tau) d\tau
$$
\n(11)

$$
\overline{AT}_{inj,i} = \frac{1}{t_{inj,i}} \int_0^{t_{inj,i}} AT_{inj,i}(\tau) d\tau
$$
\n(12)

So that Eq. [\(10](#page-4-1)) can be simplified as follows:

$$
\eta_i = \frac{\overline{Q}_{abs,i} \times t_{abs,i}}{\overline{Q}_{inj,i} \times t_{inj,i}} \times \frac{\overline{AT}_{abs,i}}{\overline{AT}_{inj,i}} = \frac{V_{abs,i}}{V_{inj,i}} \times \frac{\overline{AT}_{abs,i}}{\overline{AT}_{inj,i}}
$$
(13)

We eventually get:

 $\eta_i = R_{V,i} \times R_{T,i}$  (14)

The derived indicators  $R_{V,i}$  and  $R_{T,i}$  allow consideration of:

- ∙ the dynamics of groundwater temperature evolution due to the thermal plume at the well;
- ∙ the dynamics of the building thermal load directly impacting the abstracted and pumped groundwater volumes from and into the aquifer.



<span id="page-4-2"></span><span id="page-4-1"></span>**Fig. 5.** GST modeled for each scenario. The sharp GST increase in scenarios 3 and 4 refers to the start of urbanization in 1990.

#### **3. Results**

## *3.1. Ground surface temperature simulation*

For each scenario, a homogeneous annual average GST is generated ([Fig.](#page-4-2) [5](#page-4-2)) using the SAT values in [Fig.](#page-2-2) [3](#page-2-2) and Eq. ([4](#page-3-2)). Scenarios 3 and 4 account for urban development starting from 1990.

### *3.2. Projected pumped/injected volumes*

The extracted/injected flow rates were calculated considering a heat pump presenting a COP of 5, a typical value reported for ATES in related studies ([Vanhoudt](#page-11-11) et al., [2011;](#page-11-11) [Gao](#page-11-35) et al., [2017](#page-11-35); [Schüppler](#page-11-12) et [al.,](#page-11-12) [2019](#page-11-12); [Luo](#page-11-36) and Ma, [2022\)](#page-11-36). For simplicity, this value is supposed to remain constant in the heating and cooling mode. Following the analysis of 73 ATES in the Netherlands ([Fleuchaus](#page-11-3) et al., [2019](#page-11-3)) a ∆T of 5.0 °C is defined. The daily flow rate is calculated (Eqs. [\(5\)](#page-3-3) and [\(6\)](#page-3-4)) based on daily thermal loads [\(Appendix](#page-9-0)) that are averaged for each cold and warm period. We consider a cooling period of 134 days and a warming period of 231 days. The corresponding annually extracted volumes are calculated using a local polynomial regression on



<span id="page-5-0"></span>**Fig. 6.** Seasonal average extracted/injected volume from ATES wells associated with the thermal load. The lines represent local polynomial regression adjustments.

the seasonal volume plot (lines in [Fig.](#page-5-0) [6](#page-5-0)). Employing the temperatures retrieved from the RCP8.5 projection after 60 years, we obtain an increase of 21.4% in cold water extraction and a decrease of 20.9% in warm water extraction. In contrast, the extracted volumes remain constant for scenario 1, which relies on a constant SAT after 2022.

#### *3.3. Numerical modeling*

The simulation was initiated in 1906 for each scenario, corresponding to the beginning of SAT data availability in Bordeaux. The urbanization in scenarios 3 and 4 is considered from 1990. The ATES operation runs from 2022 to 2082. The modeled temperature log before ATES operation in scenario 1 depicts a linear temperature increase following the geothermal gradient [\(Fig.](#page-6-0) [7](#page-6-0)). Nevertheless, the log highlights the influence of porous media thermal conductivity on heat transfer in the ground layers. It shows that the aquifer, with a thermal conductivity of  $\lambda = 3.0 \,\mathrm{W m^{-1} K^{-1}}$ , has a higher heat transfer compared to the aquitard, which has a thermal conductivity of  $\lambda = 2.0 \,\mathrm{W m^{-1} K^{-1}}$ . The shape of the temperature profile in scenario 1 remains constant before the start of ATES operation as this scenario does not consider any land use changes or climate variation.

Scenarios 2, 3, and 4 represent transient GST values and urbanization. Here, before ATES operation, the modeled shallow temperature logs above 90 m depth depict an increasingly pronounced C-shape with time. Below 90 m depth, the temperature increases linearly according to the geothermal gradient of 3.0 °C/100 m. This temperature log shape above 90 m is a typical signature of transient heat gain from the surface influenced by both historical SAT increase and surface urbanization ([Blum](#page-11-15) et al., [2021;](#page-11-15) [Bayer](#page-10-2) et al., [2019](#page-10-2); [Ferguson](#page-11-14) and [Woodbury](#page-11-14), [2004;](#page-11-14) Harris and [Chapman](#page-11-26), [1997\)](#page-11-26). These logs highlight a depth-dependent temperature difference between the three scenarios, governed by the assumed GST value and particularly urbanization intensity started in 1990 for scenarios 3 and 4. The different transient GST values for each scenario lead to different average groundwater temperatures in the aquifer just before the ATES start-up. In 2021, in scenario 2, the average groundwater temperature in the aquifer is 15.0 °C, while in scenario 4, which has the highest urbanization level, it rises to 15*.*9 ◦C.

After ATES start-up, the model results show a seasonal temperature variation in both wells depending on the operation mode ([Fig.](#page-6-1) [8](#page-6-1)a). The temperature difference  $\Delta T = 5.0\degree$ C is correctly reproduced with the software plug-in. During the first ten years of ATES operation, the groundwater temperature in both wells at aquifer depth decreases in all scenarios [\(Fig.](#page-6-1) [8](#page-6-1)b). This phase corresponds to establishing the disturbed thermal regime in the aquifer due to ATES operation starting with warm water injection [\(Fig.](#page-6-1) [8a](#page-6-1)). In contrast, starting with a cold water injection would generate an increased global trend during this

initial time. Then, scenario 1 illustrates temperature stabilization in the aquifer for both wells, indicating a pseudo-steady-state thermal regime throughout the operation.

For the three other scenarios (2, 3 and 4), the temperature in the aquifer at both wells follows similar trends, with a temperature shift due to the initial temperature influenced by urbanization intensity. During the initial phase, from 10 to 35 years of operation, there is a modest average temperature rise of 0*.*028 ◦C∕y. After 35 years, the rate of temperature increase is 2.5 times faster, reaching 0*.*074 ◦C∕y. These two distinct trends result from the SAT ([Fig.](#page-2-2) [3\)](#page-2-2) trend assumed for these three scenarios, influencing heat transfer from the surface and well operation.

At the aquifer scale, during the first five years of operation, the warm and cold thermal plumes created by the injection have a similar extension in all scenarios [\(Fig.](#page-7-0) [9\)](#page-7-0). The isolines of +1.5 and −1*.*5 ◦C compared to the initial state before the operation are used to assess the extension of the thermal plumes. During the initial period, the +1*.*5 ◦C contour is slightly more extended than the −1*.*5 ◦C contour.

After this initial period, scenarios 2, 3, and 4 depict a pronounced warm plume that expands during ATES operation, while the cold storage decreases. This leads to warming of the aquifer, which increases with the urbanization intensity. The warm plume extension reaches almost 100 m around the wells after 35 years of operation, while the cold plume extension is lower than 25 m around the well for these three scenarios. This highlights the issue of cold water availability for the ATES system, illustrated by the lack of cold water storage after 35 years of operation, even at the end of a supposed cold water injection period.

On the contrary, scenario 1 depicts a similar extension of warm and cold thermal plumes. Here, a balanced availability of cold and warm water through the operation of the ATES is achieved. Scenario 1 delineates a proper operation of the ATES throughout its lifetime, consistent with theoretical ATES operation principles [Fig.](#page-1-0) [1.](#page-1-0) The temperature of the cold and warm water at the end of the exploitation is around 12*.*6 ◦C and 19*.*2 ◦C, while the mean temperature above 90 m is 16.2 °C [\(Fig.](#page-7-1) [10](#page-7-1)).

The other scenarios characterize unbalanced systems, associated with a higher temperature of the plumes. For example, scenario 4 presents a cold water temperature of 16.1 °C, which is close to the mean temperature in the aquifer and which reveals a lack of cold storage ([Fig.](#page-7-1) [10\)](#page-7-1). Moreover, these scenarios depict a pronounced warming of the close surface layers compared to scenario 1. Combined with the pumping dynamic of each scenario, the thermal dynamics depicted in the previous paragraphs lead to different ATES performances ([Fig.](#page-8-0) [11](#page-8-0)).

After a slight increase during the initial period, scenario 1 reveals a stable performance  $\eta_e$  of 0.85 at each well [\(Fig.](#page-8-0) [11](#page-8-0)a). This performance is due to a balanced pumping/injection rate  $R_V$  [\(Fig.](#page-8-0) [11b](#page-8-0)) and a similar extension of the thermal plume allowing a stable thermal recovery  $R_T$ through the operation ([Fig.](#page-8-0) [11](#page-8-0)c).

In scenarios 2, 3, and 4, the performance of the wells also follows a slight increase during the initial period. Then, at the cold well, the performance is stable at around 0.8 during the first part of the operation. After that,  $\eta_e$  decreases sharply ([Fig.](#page-8-0) [11a](#page-8-0)1), and the decrease occurs earlier with the development of urbanization (after 30 years for scenario 4 and 40 years for scenario 2). Despite an increase in  $R_V$  due to overpumping of the cold well, the warming of the aquifer does not allow the recovery of the cold injected water, indicated by the decrease of  $R_T$  after 20 years of operation. These two dynamics generate the collapse of the performance measured by  $\eta_e$ .

At the warm well, the performance does not stabilize after the initial period and starts to decrease after 10 years of operation ([Fig.](#page-8-0) [11a](#page-8-0)2). The global warming of the aquifer allows a constant increase of  $R_T$ which is insufficient to offset the decline of  $R_V$ .



<span id="page-6-0"></span>Fig. 7. Evolution of the modeled temperature profiles before ATES start-up (the horizontal lines represent the top and the bottom faces of the aquifer). bgl = Below ground level.



<span id="page-6-1"></span>**Fig. 8.** Average transient groundwater temperature in ATES wells along the aquifer thickness: (a) temperature values retrieved from each modeled time-step of scenario 2 and (b) local polynomial regression for each scenario.

## **4. Discussion**

In this study, scenario 1 represents the prevailing modeling methodology for ATES systems, considering a constant prescribed temperature at the upper face of the model, coupled with constant injection and extraction flow rates for both wells. The other investigated scenarios introduce transient thermal BCs at the surface considering changing SAT values due to climate change, and GST due to urbanization. In addition, we account for the evolution of building thermal regulation demand and adjusted flow rate influenced by SAT forecasts. Each modeled scenario replicates ATES operation, encompassing cold and

warm storage dynamics within the aquifer. The thermal dynamics and the performance of the ATES system highly depend on the top thermal BCs. It is important to stress here that the thermal forcing by external factors is assumed to be significant in these scenarios. In more moderate conditions, the effects of ambient urban subsurface warming would be less pronounced.

The initial temperature distribution depicted in [Fig.](#page-6-0) [7](#page-6-0) denotes the necessity of considering the past historical events (urbanization development and past SAT) to accurately model the temperature distribution in the ground before ATES start-up. The common approach (scenario 1) reproduces a linear temperature log which is not representative of the



<span id="page-7-0"></span>Fig. 9. Cross-section through ATES wells illustrating temperature difference at selected dates compared to the initial state just before the ATES start-up. The left panels represent the end of the warm water injection cycle and the right panels represent the end of the cold water injection. The dashed black horizontal lines demarcate the aquifer boundaries, and vertical lines represent the location of the wells.



<span id="page-7-1"></span>**Fig. 10.** Cross-section through ATES wells illustrating domain temperature and corresponding isothermal lines at the end of the ATES exploitation for scenarios 1 and 4. The left panels represent the end of the warm water injection cycle, and the right panels represents the end of the cold water injection. White horizontal dashed lines demarcate the aquifer, and vertical lines the wells.



<span id="page-8-0"></span>**Fig. 11.** Performance indicators of the ATES: (a) is the global performance of each well representing the combination of (b) the volumetric ratio of each well and (c) the thermal recovery of the wells.

thermal regime depicted in the shallow subsurface of cities. The other scenarios reproduce a temperature log with a C-shape above a depth of 90 m, which is characteristic of shallow (*<*100 m) urban subsurface influenced by urbanization and increasing SAT since the beginning of the 20th century. This strategy allows for the establishment of a more realistic thermal regime before the start-up of ATES.

In the context of a shallow ATES operation, scenario 1 exhibits stable performance alongside a balanced extension of the cold and warm plumes. However, the alternative scenarios depicted in this study underscore the importance of SAT forecasts and urbanization intensity as influential factors for ATES performance. These scenarios accentuate the necessity of incorporating such factors to prevent an overestimation of ATES performance in future projections.

Nevertheless, our study considers the RCP8.5 temperature projection in Bordeaux, while for instance, RCP6.0 could be considered more realistic on a worldwide scale. Compared to RCP8.5, RCP6.0 may decrease the building cooling load in the summer and increase the heating load in the winter. The unbalanced groundwater pumping may be lower than the one related to RCP8.5 ([Fig.](#page-5-0) [6](#page-5-0)). Assuming RCP6.0 will also reduce the heat transfer from the atmosphere to the upper layers of the ground.

Comparison of scenarios 2, 3, and 4 illustrates the negative effects of urbanization on the efficiency of shallow ATES systems. Our study only considers the heat transfer from asphalt and buildings. The  $T<sub>h</sub>$ value of 19.0 °C is in the upper scale of the likely value. This high value was chosen to compensate for the absence of sewage leakage and heat district consideration, which are non-negligible heat sources in urban grounds ([Benz](#page-10-4) et al., [2015\)](#page-10-4). With a lower  $T<sub>b</sub>$  value, the negative effect on the aquifer warming could be reduced, and the ATES performance would be less affected.

To mitigate surface heat transfer impacts, green spaces at ATES sites should be preserved or restored. Additionally, ATES wells targeting

deeper aquifers may offer a viable solution to mitigate these effects. Nevertheless, as ATES systems aim to produce and store cold water, the targeting aquifer should not be too deep to exploit cold water. The findings of this study also encourage regional management strategies in cities (e.g., sharing of thermal production for different buildings in the same area or using a cooling tower to lower the water temperature re-injection in winter) to reach a thermal balance in the aquifer and mutually benefit from the storage.

Our study assumes a uniform effect of urbanization in scenarios 3 and 4, incorporating the combined role of buildings and asphalt. However, this approach overlooks the thermal impact of specific roads, parking areas, or buildings on the aquifer, despite their potentially significant influence on the temperature of the aquifer at locations with ATES wells. The presented approach thus simplifies local conditions and does not resolve urban structures. While these may need to be simulated for individual ATES management, the presented results average their role in order to reveal regional trends at the city scale.

Moreover, our study considers a simplified methodology to calculate building thermal load and a 100% heat pump mode in summer while ATES can operate using free cooling, which is more economical than the heat pump mode with forced cooling. Free cooling influences the amount of water extracted from the cold well and re-injected in the warm well in the summer and the  $\Delta T$ . It may impact the value of the wells' performance and thermal plume extension. However, free cooling is not effective once the groundwater temperature in the cold well exceeds 16.0 °C. This limits free cooling operation in the most critical scenarios and therefore compromises the economic efficiency of ATES. Regarding the heat pump mode considered in this study, the COP is considered constant along the modeled period, while the groundwater temperature increases for scenarios 2, 3, and 4. This increase may raise the COP during winter and decrease it during summer (Luo [and](#page-11-36) Ma, [2022;](#page-11-36) [Todorov](#page-11-37) et al., [2020](#page-11-37)).

## **Table A.3**

<span id="page-9-1"></span>List of various factors to consider for calculating the thermal demand of a building in summer ([ASHRAE](#page-10-11), [1997](#page-10-11), [2021](#page-10-12)). For the equation parameter values, please refer to [Tables](#page-10-13) [A.5](#page-10-13) and [A.6.](#page-10-14)

Factor	Equation	Parameters
Walls-Roof- Windows	$q = U \times A \times (T_{max} - T_i)$	$U =$ Heat transfer coeff. (W m <sup>-2</sup> K <sup>-1</sup> ) $A = \text{Area (m}^2)$ $T_i$ = Indoor temperature (°C) $T_{out}$ = Max daily outdoor temperature (°C)
Ventilation	$q = 1.23 \times Q_{\text{reut}} \times (T_{\text{max}} - T_i)$	$Q_{\text{cont}}$ = Air flow rate required for 1 person (Ls <sup>-1</sup> )
Window radiation	$q = A \times SC \times SCL$	$A =$ Windows area (m <sup>2</sup> ) $SC = Shading factor (-)$ $SCL = Solar cooling load (W m-2)$
People	$q = N \times P_{\text{acc}} \times O$	$N =$ Number of people $(-)$ $P_{\text{acc}}$ = Heat gain per people (W) $O =$ Occupancy rate $(-)$
Miscellaneous equipment	$q = N \times P_{ea}$	$N =$ Number of equipments (-) $P_{ea}$ = Heat due to device (W)
Lighting	$q = A \times E_{n} \times \eta^{-1} \times H_{n}$ (Suszanowicz, 2017)	$A =$ Floor area $(m2)$ $E_n =$ Illuminance (lm m <sup>-2</sup> ) $\eta$ = Luminous efficacy (lm W <sup>-1</sup> ) $H_e$ = Heat emission coeff. (WW <sup>-1</sup> )
Workstation	$q = N \times P_{\text{no}} \times A_{\text{no}} \times O$	$N =$ Number of workstations (-) $P_{\text{no}}$ = Heat gain per m <sup>2</sup> (Wm <sup>-2</sup> ) $A_{no}$ = Area of workstation (m <sup>2</sup> ) $O =$ Occupancy rate $(-)$

#### **Table A.4**

<span id="page-9-2"></span>List of various factors to consider for calculating the thermal demand of a building in winter ([ASHRAE](#page-10-11), [1997,](#page-10-11) [2021](#page-10-12)). For the equation parameter values, please refer to [Table](#page-10-13) [A.5](#page-10-13).

Factor	Equation	Parameters
Slab	$q = \Pi \times F_{\epsilon} \times (T_i - T_{min})$	$\Pi$ = Slab perimeter (m) $F_r$ = Heat transfer coeff. (W m <sup>-1</sup> ) $T_{min}$ = Minimum outdoor daily temperature (°C)
Murs-Toit-vitres Ventilation	$q = U \times A \times (T_i - T_{min})$ $q = 1.23 \times Q_{cont} \times (T_c - T_{ext})$	See Table A.3 See Table A.3

The purpose of this study was to emphasize the influence of GST increase on ATES performance. We advocate for the consideration of these processes in site-specific ATES performance evaluation studies. Clearly, the systems installed at particular sites will depend on local aquifer characteristics and heterogeneities, which determine the shape and extension of thermal plumes as well as the resulting performance of an ATES system ([Sommer](#page-11-34) et al., [2013;](#page-11-34) [Visser](#page-11-6) et al., [2015](#page-11-6); [Bridger](#page-11-5) and [Allen](#page-11-5), [2014](#page-11-5); [Ferguson,](#page-11-4) [2007;](#page-11-4) Dehkordi and [Schincariol](#page-11-39), [2014](#page-11-39)). Therefore, the results presented here reveal crucial relationships that have been overlooked in most related studies. However, the derived quantitative estimates cannot be generalized to other sites without adjusting simulated ground heat flux, hydrogeological and technological characteristics that may differ strongly from the ones assumed here.

#### **5. Conclusion**

We investigated the performance of LT-ATES systems under the combined impacts of SUHI and climate change. GST simulations reveal that urban development and climate change markedly affect initial thermal conditions and long-term ATES operation, with ambient subsurface warming altering extraction rates over 60 years. Numerical modeling demonstrates distinct thermal dynamics, showing that shallow urban subsurface conditions and intensified urbanization degrade system performance. Strategies like preserving green spaces and optimizing well placement are essential to mitigate these effects and ensure efficiency. Future research should focus on integrated models to better capture urban subsurface thermal dynamics and the evolving thermal loads of buildings. This study provides crucial insights for designing resilient and efficient geothermal energy systems for urban areas under climate change.

#### **CRediT authorship contribution statement**

**Godinaud Jérémy:** Writing – original draft, Visualization, Software, Methodology, Formal analysis, Data curation, Conceptualization. **Pryet Alexandre:** Writing – original draft, Validation, Supervision, Methodology. **Bayer Peter:** Writing – review & editing. **Larroque François:** Validation, Supervision, Methodology, Conceptualization.

#### **Declaration of competing interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## **Acknowledgments**

P. Bayer acknowledges financial support from the SpeicherCity project (03G0911H), funded by the Federal Ministry of Education and Research (BMBF). We would like to thank Ryan Pearson for his proofreading assistance and the two reviewers for their valuable contributions in improving the manuscript.

## **Appendix. Detail of the building thermal load calculation**

<span id="page-9-0"></span>The building thermal load in summer is the sum of each daily  $q$ detailed in [Table](#page-9-1) [A.3.](#page-9-1) For the winter thermal load, please refer to [Table](#page-9-2) [A.4.](#page-9-2) The target indoor temperature is 25 ◦C in summer and 20 ◦C in winter.

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## **Table A.5**

<span id="page-10-13"></span>Building material properties (For the units, please refer to the [Tables](#page-9-1) [A.3](#page-9-1) and [A.4\)](#page-9-2). External loads



#### **Table A.6**

<span id="page-10-14"></span>Parameter specifications for description of internal heat gains.



## **Data availability**

Data will be made available on request.

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